



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/684,497  | 10/15/2003  | Yasuo Itoh           | 244067US2S          | 4593             |
| 22850   | 7590        | 06/24/2004           | EXAMINER            |                  |
| OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.<br>1940 DUKE STREET<br>ALEXANDRIA, VA 22314 |             |                      | TRAN, ANDREW Q      |                  |
|   |             |                      | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 2824                |                  |

DATE MAILED: 06/24/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

|                              |                            |                                  |  |
|------------------------------|----------------------------|----------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b>     | <b>Applicant(s)</b>              |  |
|                              | 10/684,497                 | ITOH, YASUO<br><i>(initials)</i> |  |
|                              | Examiner<br>Andrew Q. Tran | Art Unit<br>2824                 |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_\_.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1,5-9,12-18,20 and 21 is/are rejected.
- 7) Claim(s) 2-4,10,11 and 19 is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 15 October 2003 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ . |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)               |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>10/15/2003</u> | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

***Specification***

The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

The following title is suggested:

--Ferro-electric random access memory using paraelectric and ferroelectric capacitor for generating a reference potential--.

Claim 17 is objected to because of the following informalities:

In claim 17, line 9, --ferro--- should be added before "electric".

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7-8, 12, 15-16 and 20-21 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 7, lines 4-5, the phrase "... having current driving force on the basis ..." is indefinite because it unclear what is meant by said phrase.

In claim 12, lines 3-5, the phrase "... a component by a paraelectric capacitor, ..... in consideration of the component" is indefinite because it unclear what is meant by said phrase.

In claim 15, lines 4-5, the phrase "... having current driving force on the basis ..." is indefinite because it unclear what is meant by said phrase.

In claim 20, lines 2-3, the phrase "... becomes an electric potential having current driving force by ..." is indefinite because it unclear what is meant by said phrase.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 5-6, 9, 12-14 and 17-18 are rejected under 35 U.S.C. 102(b) as being anticipated by Koike (US Pat. 5,940,316 hereafter "Koike"). See for example Figures 11, 14 and 16 and descriptions thereof.

As to claims 6 and 14, note that the reference potential circuit could be disposed anywhere on the memory die.

With regard to claim 9, as to the trimming circuit (line 11), see Fig. 14 and col. 13, ln. 22-28.

### ***Allowable Subject Matter***

Claims 7-8, 15-16 and 20-21 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, second paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

Claims 2-4, 10-11 and 19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter:

The prior art does not teach a ferroelectric random access memory wherein one end of the second ferro-electric capacitor is connected to a common node and a driving signal is applied to the other end thereof, as required by claims 2 and 10. The prior art further does not teach a ferroelectric random access memory wherein the first and second driving signals are adjusted by a trimming circuit, as required by claim 19.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Katoh (US Pat. 5,768,176) describes a method of controlling non-volatile ferroelectric memory cell for inducing a large amount of electric charge representative of data bit.

Nishimura et al. (US Pat. 6,363,002) describes a ferroelectric memory with bipolar drive pulses.

Choi et al. (US Pat. 6,594,174) describes a method for sensing data stored in a ferroelectric random access memory device.

Art Unit: 2824

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Q. Tran whose telephone number is (571) 272-1885. The examiner can normally be reached on Mon - Fri 8:30 AM - 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richard T. Elms can be reached on (571) 272-1869. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Andrew Q. Tran  
Primary Examiner  
Art Unit 2824

at  
June 21, 2004